

REISSUE PATENT APPLICATION TRANSMITTAL

Address to:

Mail Stop Reissue
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Attorney Docket No.

970054.401RI

First Named Inventor

Aloys Wobben

Original Patent Number

6,400,039

Original Patent Issue Date
(Month/Day/Year)

June 4, 2002

Express Mail Label No.

EV347012733US

APPLICATION FOR REISSUE OF:

(Check applicable box)

☒ Utility Patent☐ Design Patent☐ Plant Patent

APPLICATION ELEMENTS (37 CFR 1.173)

1. ☒ Fee Transmittal Form (PTO/SB/56)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
3. ☐ Specification and Claims in double column copy of patent format (amended, if appropriate)
4. ☐ Drawing(s) (proposed amendments, if appropriate)
5. ☒ Reissue Oath/Declaration (original or copy)
(37 C.F.R. § 1.175) (PTO/SB/51 or 52)
6. ☐ Power of Attorney
7. Original U.S. Patent currently assigned? ☐ Yes ☒ No
(If Yes, check applicable box(es))
☒ Written Consent of all Assignees (PTO/SB/53)
☐ 37 C.F.R. § 3.73(b) Statement (PTO/SB/96)
8. ☐ CD-ROM or CD-R in duplicate, Computer Program (Appendix) or large table
9. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all of the following are necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM (2 copies) or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☐ Statement of status and support for all changes to the claims. See 37 CFR 1.173(c)
11. ☐ Original U.S. Patent for surrender
☐ Ribbonded Original Patent Grant
☐ Statement of Loss (PTO/SB/55)
12. ☐ Foreign Priority Claim (35 U.S.C. 119) (if applicable)
13. ☒ Information Disclosure Statement (IDS)/PTO/1449
☒ Copies of IDS Citations
14. ☐ English Translation of Reissue Oath/Declaration (if applicable)
15. ☒ Preliminary Amendment
16. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
17. ☒ Other: Application Data Sheet

Offer to Surrender the Original Patent

Copy of U.S. Patent No. 6,400,039 B1

18. CORRESPONDENCE ADDRESS

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NAME (Print/Type)	David V. Carlson	Registration No. (Attorney/Agent)	31,153
Signature	<i>David V. Carlson</i>	Date	April 21, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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379257 [10/23/01]

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REISSUE APPLICATION FEE TRANSMITTAL FORM					Docket Number (Optional) 970054.401R1				
Claims as Filed - Part 1									
Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity			
				Rate	Fee		Rate	Fee	
(A) 7	Total Claims (37 CFR 1.16(j))	(B) 19	**** 0 =	x \$ _____ =		or	x \$ <u>18</u> =	0	
(C) 1	Independent Claims (37 CFR 1.16(i))	(D) 3	* 0 =	x \$ _____ =			x \$ <u>86</u> =	0	
Basic Fee (37 CFR 1.16(h))						\$ _____	OR		\$ <u>770</u>
Total Filing Fee						\$ _____			\$ <u>770</u>
Claims as Amended - Part 2									
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity		
					Rate	Fee		Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	* =	X \$ _____ =		or	X \$ _____ =	
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	X \$ _____ =			X \$ _____ =	
Total Additional Fee						\$ _____	OR		\$ _____
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input type="checkbox"/> Please charge Deposit Account No. 19-1090 in the amount of _____. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> A check in the amount of \$ 770 to cover the filing/additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p style="text-align: center;">WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on form PTO-2038.</p>									
April 21, 2004 Date			 Signature of Applicant, Attorney or Agent of Record						
31,153 Registration Number, if applicable			David V. Carlson Typed or printed name						

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Aloys Wobben
Filed :
For : WIND POWER PLANT WITH A TRANSFORMER
FIXED TO THE TOWER
Docket No. : 970054.401RI

Corresponding Issued U.S. Patent:

Patent No. : US 6,400,039
Issued : June 4, 2002
Serial No. : 09/647,857
Filed : April 13, 1999
PCT No. : PCT/EP99/02461
Examiner : Nicholas Ponomarenko
Art Unit : 2834
Docket No. : 970054.401USPC

Mail Stop Reissue
Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

OFFER TO SURRENDER THE ORIGINAL PATENT

I, Aloys Wobben, owner of the entire interest in U. S. Letters Patent No. 6,400,039, which is entitled WIND POWER PLANT WITH A TRANSFORMER FIXED TO THE TOWER and issued on June 4, 2002, to me, hereby offer to surrender said Letters Patent.

Date 10.3.2004

By:

Wobben Aloys
(signature)

Name: Aloys Wobben

Title: _____

